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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/837,510 | 04/18/2001 | Kenneth Kiron | 3001 P 013 |

CONFIRMATION NO. 8885

FORMALITIES LETTER



OC000000006222596

Edward L. Bishop
Wallenstein & Wagner, Ltd.
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311 South Wacker Drive
Chicago, IL 60606-6630

Date Mailed: 06/25/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - The specification cannot be scanned or properly stored. Page(s)
 - **Specification, Claim and Abstract** do not comply with the size requirements (8 ½ by 11 inches or 21.0 by 29.7 cm). *The drawings filed are unacceptable because: Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*

*A copy of this notice **MUST** be returned with the reply.*

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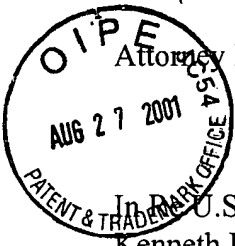
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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JUN 28 2001

WALLENSTEIN & WAGNER

09/837,510-082701



Attorney Docket No. 3001 P 013



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PATENT TRADEMARK OFFICE

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Reply, U.S. Continuation Patent Application Of:
Kenneth Kiron and Kevin S. Bander

Application No. 09/837,510

Confirmation No. 8885

Filed: April 18, 2001

For: Open End Mutual Fund Securitization Process

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) Group Art Unit: 2165
)
) Examiner:
)
)

Box Patent Application
Commissioner For Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

This replies to the Notice to File Corrected Application Papers mailed June 25, 2001, a copy of which is enclosed. The Specification and Drawings were indicated as informal because of being submitted on incorrect paper size. In response to the Notice to File Corrected Application Papers, replacement Specification pages and substitute Drawings on A4 paper are enclosed. The replacement pages contain no new matter.

Respectfully submitted,

Dated: Aug 22, 2001

Robert W. Diehl, Reg. No. 35,118
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CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Patent Application, Commissioner For Patents, Washington, D.C. 20231 on

Aug. 23, 2001
Kathleen Rundquist
Kathleen Rundquist/126326.1